## STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

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Application Serial Number:

Source:

Date Processed by STIC:

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PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER VERSION 4.2.2 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building. 401 Dulany Street. Alexandria, VA 22314

Revised 01/24/05



PCT

**RAW SEQUENCE LISTING**PATENT APPLICATION: **US/10/531,726**DATE: 04/27/2005
TIME: 14:39:20

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

```
3 <110> APPLICANT: Anke Klippel-Giese
             Joerg Kaufmann
             Rolf Schwarzer
      5
     7 <120> TITLE OF INVENTION: factor involved in metastasis and uses thereof
      9 <130> FILE REFERENCE: 39078-0009US1
C--> 11 <140> CURRENT APPLICATION NUMBER: US/10/531,726
C--> 13 <141> CURRENT FILING DATE: (2005-04-18)
     13 <150> PRIOR APPLICATION NUMBER: PCT/EP/03/11604
        (15)> PRIOR APPLICATION NUMBER: (October 20, 2003)
    16 <150> PRIOR APPLICATION NUMBER: EP 02023384
    (17) PRIOR APPLICATION NUMBER: October 18, 2002
                                                             Daes Not Camply
    19 <160> NUMBER OF SEQ ID NOS: 17
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     21 <170> SOFTWARE: PatentIn version 3.1
     23 <210> SEO ID NO: 1
    24 <211> LENGTH: 232
     25 <212> TYPE: PRT
    26 <213> ORGANISM: Homo sapiens
     28 <220> FEATURE:
    29 <221> NAME/KEY: MISC FEATURE
     30 <223> OTHER INFORMATION: amino acid sequence of NMF
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    43 Ala Trp Gly Ser Ala Thr Arg Glu Glu Gly Phe Asp Arg Ser Thr Ser
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                                    40
     47 Leu Glu Ser Ser Asp Cys Glu Ser Leu Asp Ser Ser Asn Ser Gly Phe
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    51 Gly Pro Glu Glu Asp Thr Ala Tyr Leu Asp Gly Val Ser Leu Pro Asp
                            70
                                                75
    55 Phe Glu Leu Leu Ser Asp Pro Glu Asp Glu His Leu Cys Ala Asn Leu
                        85
                                            90
    59 Met Gln Leu Leu Gln Glu Ser Leu Ala Gln Ala Arg Leu Gly Ser Arg
                                        105
     63 Arg Pro Ala Arg Leu Leu Met Pro Ser Gln Leu Val Ser Gln Val Gly
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                                    120
     67 Lys Glu Leu Leu Arg Leu Ala Tyr Ser Glu Pro Cys Gly Leu Arg Gly
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    71 Ala Leu Leu Asp Val Cys Val Glu Gln Gly Lys Ser Cys His Ser Val
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                                                155
    75 Gly Gln Leu Ala Leu Asp Pro Ser Leu Val Pro Thr Phe Gln Leu Thr
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                                            170
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RAW SEQUENCLISTING
PATENT APPLICATION: US/10/531,726

DATE: 27/2005 TIME: 14:39:20

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

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                                   185
83 Phe Ser Ser Ala Asn Ser Pro Phe Leu Pro Gly Phe Ser Gln Ser Leu
                               200
                                                   205
87 Thr Leu Ser Thr Gly Phe Arg Val Ile Lys Lys Leu Tyr Ser Ser
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88
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91 Glu Gln Leu Leu Ile Glu Glu Cys
92 225
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103 ggttggcacg ggttcgcaca cccattcaag cggcaggacg cacttgtctt agcagttctc
                                                                          120
105 gctgaccgcg ctagctgcgg cttctacgct ccggcactct gagttcatca gcaaacgccc
                                                                          180
107 tggcgtctgt cctcaccatg cctagccttt gggaccgctt ctcgtcgtcg tccacctcct
                                                                          240
109 ettequette gteettgeee equaetteea ecceagateg geogeegege teageetggg
                                                                          300
111 ggtcggcgac ccgggaggag gggtttgacc gctccacgag cctggagagc tcggactgcg
                                                                          360
113 agtccctgga cagcagcaac agtggcttcg ggccggagga agacacggct tacctggatg
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115 gggtgtcgtt gcccgacttc gagctgctca gtgaccctga ggatgaacac ttgtgtgcca
117 acctgatgca gctgctgcag gagagcctgg cccaggcgcg gctgggctct cgacgccctg
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119 cgcgcctgct gatgcctagc cagttggtaa gccaggtggg caaagaacta ctgcgcctgg
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121 cctacagcga gccgtgcggc ctgcgggggg cgctgctgga cgtctgcgtg gagcagggca
                                                                          660
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123 agagetgeca cagegtggge cagetggeac tegaceceag cetggtgece acettecage
125 tqaccctcqt gctqcqcctq gactcacqac tctqqcccaa gatccagqgg ctgtttagct
                                                                          780
127 cogocaacto tocottocto cotggottoa gocagtocot gaogotgago actggottoo
                                                                          840
129 gagtcatcaa gaagaagctg tacagctcgg aacagctgct cattgaggag tgttgaactt
                                                                          900
131 caacctgagg gggccgacag tgccctccaa gacagagacg actgaacttt tggggtggag
                                                                          960
133 actagaggca ggagctgagg gactgattcc agtggttgga aaactgaggc agccacctaa
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                                                                         1140
137 gcattgggga cacatacccc tcagtactgt agcatggaac aaaggcttag gggccaacaa
                                                                         1200
139 ggcttccagc tggatgtgtg tgtagcatgt accttattat ttttgttact gacagttaac
141 agtggtgtga catccagaga gcagctgggc tgctcccgcc ccagcctggc ccagggtgaa
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143 ggaagaggca cgtgctcctc agagcagccg gagggagggg ggaggtcgga ggtcgtggag
                                                                         1320
145 gtggtttgtg tatcttactg gtctgaaggg accaagtgtg tttgttgttt gttttgtatc
                                                                         1380
147 ttgtttttct gatcggagca tcactactga cctgttgtag gcagctatct tacagacgca
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149 tgaatgtaag agtaggaagg ggtgggtgtc agggatcact tgggatcttt gacacttgaa
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151 aaattacacc tggcagctgc gtttaagcct tcccccatcg tgtactgcag agttgagctg
                                                                         1560
                                                                         1620
153 gcaggggagg ggctgagagg gtgggggctg gaacccctcc ccgggaggag tgccatctgg
155 gtcttccatc tagaactgtt tacatgaaga taagatactc actgttcatg aatacacttg
                                                                         1680
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165 <213> ORGANISM: Homo sapiens
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RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/531,726

DATE: 27/2005 TIME: 14:39:20

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

170 ccccgaactc ccaccccaga tcggccgccg cgctcagcct gggggtcggc gacccgggag 120 172 gaggggtttg accgctccac gagcctggag agctcggact gcgagtccct ggacagcagc 180 174 aacagtggct tcgggccgga ggaagacacg gcttacctgg atggggtgtc gttgcccgac 240 176 ttcgagctgc tcagtgaccc tgaggatgaa cacttgtgtg ccaacctgat gcagctgctg 300 178 caggagagec tggcccagge geggetggge tetegaegee etgegegeet getgatgeet 180 agccagttgg taagccaggt gggcaaagaa ctactgcgcc tggcctacag cgagccgtgc 420 182 ggcctgcggg gggcgctgct ggacgtctgc gtggagcagg gcaagagctg ccacagcgtg 480 184 ggccagctgg cactcgaccc cagcctggtg cccaccttcc agctgaccct cgtgctgcgc 540 186 ctgqactcac gactctggcc caagatccag gggctgttta gctccgccaa ctctcccttc 600 188 ctccctggct tcagccagtc cctgacgctg agcactggct tccgagtcat caagaagaag 660 190 ctgtacagct cggaacagct gctcattgag gagtgttga 699 193 <210> SEQ ID NO: 4 194 <211> LENGTH: 21 195 <212> TYPE: DNA 196 <213> ORGANISM: Artificial Sequence 198 <220> FEATURE: 199 <223> OTHER INFORMATION: antisense oligonucleotide 201 <220> FEATURE: 202 <221> NAME/KEY: misc feature 203 <222> LOCATION: (1)..(6) 204 <223> OTHER INFORMATION: RNA 207 <220> FEATURE: 208 <221> NAME/KEY: misc feature 209 <222> LOCATION: (7)..(15) 210 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages 213 <220> FEATURE: 214 <221> NAME/KEY: misc feature 215 <222> LOCATION: (16)..(21) 216 <223> OTHER INFORMATION: RNA 219 <400> SEQUENCE: 4 21 220 gcucaactct gcagtacacg a 223 <210> SEQ ID NO: 5 224 <211> LENGTH: 21 225 <212> TYPE: DNA 226 <213> ORGANISM: Artificial Sequence 228 <220> FEATURE: 229 <223> OTHER INFORMATION: antisense oligonucleotide 231 <220> FEATURE: 232 <221> NAME/KEY: misc feature 233 <222> LOCATION: (1)..(6) 234 <223> OTHER INFORMATION: RNA 237 <220> FEATURE: 238 <221> NAME/KEY: misc feature 239 <222> LOCATION: (7)..(15) 240 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages 243 <220> FEATURE: 244 <221> NAME/KEY: misc feature 245 <222> LOCATION: (16)..(21) 246 <223> OTHER INFORMATION: RNA

RAW SEQUENC LISTING DATE: 27/2005
PATENT APPLICATION: US/10/531,726
TIME: 14:39:20

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

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250 cuugguccet teagaceagu a
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256 <213> ORGANISM: Artificial Sequence
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259 <223> OTHER INFORMATION: antisense oligonucleotide
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262 <221> NAME/KEY: misc feature
263 <222> LOCATION: (1)..(6)
264 <223> OTHER INFORMATION: RNA
267 <220> FEATURE:
268 <221> NAME/KEY: misc_feature
269 <222> LOCATION: (7)..(15)
270 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages
273 <220> FEATURE:
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275 <222> LOCATION: (16)..(21)
276 <223> OTHER INFORMATION: RNA
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                                                                            21
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286 <213> ORGANISM: Artificial Sequence
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291 <220> FEATURE:
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293 <222> LOCATION: (1)..(6)
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297 <220> FEATURE:
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300 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages
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304 <221> NAME/KEY: misc feature
305 <222> LOCATION: (16)..(21)
306 <223> OTHER INFORMATION: RNA
309 <400> SEQUENCE: 7
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310 cccaaaagtt cagtcgucuc u
313 <210> SEQ ID NO: 8
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315 <212> TYPE: DNA
316 <213> ORGANISM: Artificial Sequence
318 <220> FEATURE:
319 <223> OTHER INFORMATION: antisense oligonucleotide
321 <220> FEATURE:
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RAW SEQUENC LISTING DATE: 27/2005
PATENT APPLICATION: US/10/531,726
TIME: 14:39:20

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

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323 <222> LOCATION: (1)..(6)
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336 <223> OTHER INFORMATION: RNA
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346 <213> ORGANISM: Artificial Sequence
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351 <220> FEATURE:
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353 <222> LOCATION: (1)..(6)
354 <223> OTHER INFORMATION: RNA
357 <220> FEATURE:
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360 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages
363 <220> FEATURE:
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365 <222> LOCATION: (16)..(21)
366 <223> OTHER INFORMATION: RNA
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375 <212> TYPE: DNA
376 <213> ORGANISM: Artificial Sequence
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381 <220> FEATURE:
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383 <222> LOCATION: (1)..(6)
384 <223> OTHER INFORMATION: RNA
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389 <222> LOCATION: (7)..(15)
390 <223> OTHER INFORMATION: DNA linked through phosphorothioate linkages
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394 <221> NAME/KEY: misc feature

## 10/531,726

Pagel

See error explanation

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/531,726

27/2005 DATE: TIME: 14:39:21

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:17

Use of <220> Feature (NEW RULES): Error Explanation of Sequence (s) are missing the (200) Sequence(s) are missing the <220> Feature and associated headings. Use of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" or"Unknown". Please explain source of genetic material in <220> to <223> section (See "Federal Register," 6/01/98, Vol. 63, No. 104,pp.29631-32) (Sec.1.823 of new Rules)

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/531,726

DATE: 27/2005 TIME: 14:39:21

Input Set : A:\39078-0009US1.txt

Output Set: N:\CRF4\04272005\J531726.raw

L:11 M:270 C: Current Application Number differs, Replaced Current Application Number L:13 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:14 M:289 W: Identifier Missing or Out-Of-Order, <150> PRIOR APP FILING DATE L:16 M:289 W: Identifier Missing or Out-Of-Order, <150> PRIOR APP FILING DATE

L:17 M:289 W: Identifier Missing of Out-Of-Order, <150> PRIOR APP FILING DATE

L:534 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:17, <213>
ORGANISM:Artificial

L:534 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:17, <213> ORGANISM:Artificial

L:534 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:17, Line#:534